#### N-PX 1 a51647.htm AQUILA FUNDS TRUST 6/30/2023 FORM N-PX

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM N-PX

## ANNUAL REPORT OF PROXY VOTING OF REGISTERED MANAGEMENT INVESTMENT COMPANY

**Investment Company Act file number 811-3578** 

## **AQUILA FUNDS TRUST**

(formerly, Aquila Three Peaks High Income Fund) (Exact name of registrant as specified in charter)

> 120 West 45th Street Suite 3600 New York, NY 10036

(Address of principal executive offices) (Zip code)

Joseph P. DiMaggio, Chief Financial Officer and Treasurer 120 West 45th Street, Suite 3600 New York, NY 10036

(name and address of agent for service)

Registrant's telephone number, including area code: 212-697-6666

Date of fiscal year end: December 31, 2022

Date of reporting period: June 30, 2023

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (subsections 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, D.C. 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. subsection 3507.

#### Item. 1 Proxy Voting Record

#### a.) Aquila High Income Fund ("AHIF"), a series of the Registrant

During the twevle month reporting period ended June 30, 2023, AHIF did not hold any portfolio securities for which the series was entitled to participate in proxy voting.

## b.) Aquila Opportunity Growth Fund ("AOGF"), a series of the Registrant

During the twevle month reporting period ended June 30, 2023, AOGF did hold portfolio securities for which the series was entitled to participate in proxy voting as follows:

**ICA File** 811-03578

Number:

**Reporting** 07/01/2022 - 06/30/2023

Period:

**Aquila Funds Trust** 

Aquila Opportunity Growth Fund=

#### AEROVIRONMENT, INC.

Ticker: **AVAV Meeting Date:** SEP 23, 2022 **Security ID:** 008073108 **Meeting Type:** Annual

**Record Date:** AUG 02, 2022

t Sponsor
Management
Management
Management
Management
t

#### ALBEMARLE CORPORATION

Ticker: ALB **Meeting Date:** MAY 02, 2023 **Record Date:** MAR 07, 2023 **Security ID:** 012653101 **Meeting Type:** Annual

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director M. Lauren Brlas	For	For	Management
1b	Elect Director Ralf H. Cramer	For	For	Management
1c	Elect Director J. Kent Masters, Jr.	For	For	Management
1d	Elect Director Glenda J. Minor	For	For	Management
1e	Elect Director James J. O'Brien	For	For	Management
1f	Elect Director Diarmuid B. O'Connell	For	For	Management
1g	Elect Director Dean L. Seavers	For	For	Management
1h	Elect Director Gerald A. Steiner	For	For	Management
1i	Elect Director Holly A. Van Deursen	For	For	Management
1j	Elect Director Alejandro D. Wolff	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Approve Non-Employee Director Restricted Stock Plan	For	For	Management

#### ALBERTSONS COMPANIES, INC.

Ticker:ACISecurity ID:013091103Meeting Date:AUG 04, 2022Meeting Type:Annual

**Record Date:** JUN 07, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Vivek Sankaran	For	Against	Management
1b	Elect Director James Donald	For	Against	Management
1c	Elect Director Chan Galbato	For	Against	Management
1d	Elect Director Sharon Allen	For	Against	Management
1e	Elect Director Shant Babikian	For	Against	Management
1f	Elect Director Steven Davis *Withdrawn Resolution*	None	None	Management
1g	Elect Director Kim Fennebresque	For	For	Management
1h	Elect Director Allen Gibson	For	Against	Management
1i	Elect Director Hersch Klaff	For	Against	Management
1j	Elect Director Jay Schottenstein	For	Against	Management
1k	Elect Director Alan Schumacher	For	Against	Management
11	Elect Director Brian Kevin Turner	For	Against	Management
1m	Elect Director Mary Elizabeth West	For	Against	Management
1n	Elect Director Scott Wille	For	Against	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker:ARESecurity ID:015271109Meeting Date:MAY 16, 2023Meeting Type:Annual

**Record Date:** MAR 31, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Joel S. Marcus	For	For	Management
1b	Elect Director Steven R. Hash	For	For	Management
1c	Elect Director James P. Cain	For	Against	Management
1d	Elect Director Cynthia L. Feldmann	For	For	Management
1e	Elect Director Maria C. Freire	For	Against	Management
1 <b>f</b>	Elect Director Richard H. Klein	For	For	Management
1g	Elect Director Michael A. Woronoff	For	Against	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

#### ALLISON TRANSMISSION HOLDINGS, INC.

Ticker:ALSNSecurity ID:01973R10Meeting Date:MAY 03, 2023Meeting Type:Annual

**Record Date:** MAR 06, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Judy L. Altmaier	For	For	Management
1b	Elect Director D. Scott Barbour	For	For	Management
1c	Elect Director Philip J. Christman	For	For	Management
1d	Elect Director David C. Everitt	For	For	Management
1e	Elect Director David S. Graziosi	For	For	Management
1f	Elect Director Carolann I. Haznedar	For	For	Management
1g	Elect Director Richard P. Lavin	For	For	Management
1h	Elect Director Sasha Ostojic	For	For	Management
1i	Elect Director Gustave F. Perna	For	For	Management
1j	Elect Director Krishna Shivram	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## ALNYLAM PHARMACEUTICALS, INC.

Ticker:ALNYSecurity ID:02043Q107Meeting Date:MAY 18, 2023Meeting Type:Annual

**Record Date:** MAR 24, 2023

		Vote Cast	Sponsor
Elect Director Michael W. Bonney	For	For	Management
Elect Director Yvonne L. Greenstreet	For	For	Management
Elect Director Phillip A. Sharp	For	For	Management
Elect Director Elliott Sigal	For	For	Management
Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
I	Elect Director Yvonne L. Greenstreet Elect Director Phillip A. Sharp Elect Director Elliott Sigal Advisory Vote to Ratify Named Executive Officers' Compensation Advisory Vote on Say on Pay Frequency	Elect Director Yvonne L. Greenstreet  Elect Director Phillip A. Sharp  Elect Director Elliott Sigal  Advisory Vote to Ratify Named Executive Officers' Compensation  Advisory Vote on Say on Pay Frequency  One Year	Elect Director Yvonne L. Greenstreet For Elect Director Phillip A. Sharp For Elect Director Elliott Sigal For Advisory Vote to Ratify Named Executive Officers' Compensation For Advisory Vote on Say on Pay Frequency One Year One Year

## AMBARELLA, INC.

Ticker:AMBASecurity ID:G037AX101Meeting Date:JUN 21, 2023Meeting Type:Annual

**Record Date:** APR 14, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Leslie Kohn	For	For	Management
1.2	Elect Director D. Jeffrey Richardson	For	For	Management
1.3	Elect Director Elizabeth M. Schwarting	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

#### ARISTA NETWORKS, INC.

Ticker:ANETSecurity ID:040413106Meeting Date:JUN 14, 2023Meeting Type:Annual

**Record Date:** APR 20, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Lewis Chew	For	Withhold	Management
1.2	Elect Director Douglas Merritt *Withdrawn Resolution*	None	None	Management
1.3	Elect Director Mark B. Templeton	For	Withhold	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

#### AVALONBAY COMMUNITIES, INC.

Ticker:AVBSecurity ID:053484101Meeting Date:MAY 24, 2023Meeting Type:Annual

**Record Date:** MAR 27, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Glyn F. Aeppel	For	For	Management
1b	Elect Director Terry S. Brown	For	For	Management
1c	Elect Director Ronald L. Havner, Jr.	For	For	Management
1d	Elect Director Stephen P. Hills	For	For	Management
1e	Elect Director Christopher B. Howard	For	For	Management
1f	Elect Director Richard J. Lieb	For	For	Management
1g	Elect Director Nnenna Lynch	For	For	Management
1h	Elect Director Charles E. Mueller, Jr.	For	For	Management
1i	Elect Director Timothy J. Naughton	For	For	Management
1j	Elect Director Benjamin W. Schall	For	For	Management
1k	Elect Director Susan Swanezy	For	For	Management
11	Elect Director W. Edward Walter	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

## AVANTOR, INC.

Ticker:AVTRSecurity ID:05352A100Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Juan Andres	For	For	Management
1b	Elect Director John Carethers	For	For	Management
1c	Elect Director Lan Kang	For	For	Management
1d	Elect Director Joseph Massaro	For	For	Management
1e	Elect Director Mala Murthy	For	For	Management
1 <b>f</b>	Elect Director Jonathan Peacock	For	For	Management
1g	Elect Director Michael Severino	For	For	Management
1h	Elect Director Christi Shaw	For	For	Management
1i	Elect Director Michael Stubblefield	For	For	Management
1j	Elect Director Gregory Summe	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

3 Advisory Vote to Ratify Named Executive Officers' Compensation

For

For

Management

#### **BALL CORPORATION**

Ticker:BALLSecurity ID:058498106Meeting Date:APR 26, 2023Meeting Type:Annual

**Record Date:** MAR 01, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Cathy D. Ross	For	For	Management
1.2	Elect Director Betty J. Sapp	For	For	Management
1.3	Elect Director Stuart A. Taylor, II	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## BARRICK GOLD CORPORATION

Ticker:ABXSecurity ID:067901108Meeting Date:MAY 02, 2023Meeting Type:Annual

**Record Date:** MAR 03, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mark Bristow	For	For	Management
1.2	Elect Director Helen Cai	For	For	Management
1.3	Elect Director Gustavo A. Cisneros	For	For	Management
1.4	Elect Director Christopher L. Coleman	For	For	Management
1.5	Elect Director Isela Costantini	For	For	Management
1.6	Elect Director J. Michael Evans	For	For	Management
1.7	Elect Director Brian L. Greenspun	For	For	Management
1.8	Elect Director J. Brett Harvey	For	For	Management
1.9	Elect Director Anne Kabagambe	For	For	Management
1.10	Elect Director Andrew J. Quinn	For	For	Management
1.11	Elect Director Loreto Silva	For	For	Management
1.12	Elect Director John L. Thornton	For	For	Management
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize	For	For	Management
	Board to Fix Their Remuneration			
3	Advisory Vote on Executive Compensation Approach	For	For	Management

#### **BIOGEN INC.**

Ticker:BIIBSecurity ID:09062X103Meeting Date:JUN 26, 2023Meeting Type:Annual

**Record Date:** APR 20, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Alexander J. Denner - Withdrawn	None	None	Management
1b	Elect Director Caroline D. Dorsa	For	For	Management
1c	Elect Director Maria C. Freire	For	Against	Management
1d	Elect Director William A. Hawkins	For	Against	Management
1e	Elect Director William D. Jones - Withdrawn	None	None	Management
1f	Elect Director Jesus B. Mantas	For	For	Management
1g	Elect Director Richard C. Mulligan - Withdrawn	None	None	Management
1h	Elect Director Eric K. Rowinsky	For	For	Management
1i	Elect Director Stephen A. Sherwin	For	For	Management
1j	Elect Director Christopher A. Viehbacher	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Elect Director Susan K. Langer	For	For	Management

#### BIOMARIN PHARMACEUTICAL INC.

Ticker:BMRNSecurity ID:09061G101Meeting Date:MAY 23, 2023Meeting Type:Annual

**Record Date:** MAR 27, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Mark J. Alles	For	For	Management
1.2	Elect Director Elizabeth McKee Anderson	For	For	Management
1.3	Elect Director Jean-Jacques Bienaime	For	For	Management
1.4	Elect Director Willard Dere	For	For	Management
1.5	Elect Director Elaine J. Heron	For	For	Management
1.6	Elect Director Maykin Ho	For	For	Management
1.7	Elect Director Robert J. Hombach	For	For	Management
1.8	Elect Director V. Bryan Lawlis	For	For	Management
1.9	Elect Director Richard A. Meier	For	For	Management
1.10	Elect Director David E.I. Pyott	For	For	Management
1.11	Elect Director Dennis J. Slamon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Amend Omnibus Stock Plan	For	For	Management

## CADENCE DESIGN SYSTEMS, INC.

Ticker:CDNSSecurity ID:127387108Meeting Date:MAY 04, 2023Meeting Type:Annual

**Record Date:** MAR 06, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Mark W. Adams	For	For	Management
1.2	Elect Director Ita Brennan	For	For	Management
1.3	Elect Director Lewis Chew	For	For	Management
1.4	Elect Director Anirudh Devgan	For	For	Management
1.5	Elect Director Mary Louise Krakauer	For	For	Management
1.6	Elect Director Julia Liuson	For	For	Management
1.7	Elect Director James D. Plummer	For	For	Management
1.8	Elect Director Alberto Sangiovanni-Vincentelli	For	For	Management
1.9	Elect Director John B. Shoven	For	For	Management
1.10	Elect Director Young K. Sohn	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
6	Remove One-Year Holding Period Requirement to Call Special	Against	Against	Shareholder
	Meeting	-	-	

## CAESARS ENTERTAINMENT, INC.

Ticker:CZRSecurity ID:12769G100Meeting Date:JUN 13, 2023Meeting Type:Annual

**Record Date:** APR 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gary L. Carano	For	For	Management
1.2	Elect Director Bonnie S. Biumi	For	For	Management
1.3	Elect Director Jan Jones Blackhurst	For	For	Management
1.4	Elect Director Frank J. Fahrenkopf	For	Withhold	Management
1.5	Elect Director Don R. Kornstein	For	For	Management
1.6	Elect Director Courtney R. Mather	For	For	Management
1.7	Elect Director Michael E. Pegram	For	For	Management
1.8	Elect Director Thomas R. Reeg	For	For	Management
1.9	Elect Director David P. Tomic	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Amend Certificate of Incorporation to Limit the Liability of Certain Officers	For	For	Management
5	Report on Political Contributions and Expenditures	Against	Against	Shareholder
6	Disclose Board Skills and Diversity Matrix	Against	Against	Shareholder

## **CAMPBELL SOUP COMPANY**

Ticker:CPBSecurity ID:134429109Meeting Date:NOV 30, 2022Meeting Type:Annual

**Record Date:** OCT 03, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Fabiola R. Arredondo	For	For	Management
1.2	Elect Director Howard M. Averill	For	For	Management
1.3	Elect Director John P. (JP) Bilbrey	For	For	Management
1.4	Elect Director Mark A. Clouse	For	For	Management
1.5	Elect Director Bennett Dorrance, Jr.	For	For	Management
1.6	Elect Director Maria Teresa (Tessa) Hilado	For	For	Management
1.7	Elect Director Grant H. Hill	For	For	Management
1.8	Elect Director Sarah Hofstetter	For	For	Management
1.9	Elect Director Marc B. Lautenbach	For	For	Management
1.10	Elect Director Mary Alice Dorrance Malone	For	For	Management
1.11	Elect Director Keith R. McLoughlin	For	For	Management
1.12	Elect Director Kurt T. Schmidt	For	For	Management
1.13	Elect Director Archbold D. van Beuren	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Report on Supply Chain Practices	Against	Against	Shareholder
6	Assess and Report on the Company's Retirement Funds' Management of Systemic Climate Risk	Against	Against	Shareholder

## CATALENT, INC.

Ticker:CTLTSecurity ID:148806102Meeting Date:OCT 27, 2022Meeting Type:Annual

**Record Date:** SEP 06, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Madhavan "Madhu" Balachandran	For	For	Management
1b	Elect Director Michael J. Barber	For	For	Management
1c	Elect Director J. Martin Carroll	For	For	Management
1d	Elect Director John Chiminski	For	For	Management
1e	Elect Director Rolf Classon	For	For	Management
1f	Elect Director Rosemary A. Crane	For	For	Management
1g	Elect Director Karen Flynn	For	For	Management
1 h	Elect Director John J. Greisch	For	For	Management
1i	Elect Director Christa Kreuzburg	For	For	Management
lj	Elect Director Gregory T. Lucier	For	For	Management
lk	Elect Director Donald E. Morel, Jr.	For	For	Management
11	Elect Director Alessandro Maselli	For	For	Management
lm	Elect Director Jack Stahl	For	For	Management
ln	Elect Director Peter Zippelius	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## **CELANESE CORPORATION**

Ticker:CESecurity ID:150870103Meeting Date:APR 20, 2023Meeting Type:Annual

**Record Date:** FEB 22, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jean S. Blackwell	For	For	Management
1b	Elect Director William M. Brown	For	For	Management
1c	Elect Director Edward G. Galante	For	For	Management
1d	Elect Director Kathryn M. Hill	For	For	Management
1e	Elect Director David F. Hoffmeister	For	For	Management
1 <b>f</b>	Elect Director Jay V. Ihlenfeld	For	For	Management
1g	Elect Director Deborah J. Kissire	For	For	Management
1 h	Elect Director Michael Koenig	For	For	Management
1i	Elect Director Kim K.W. Rucker	For	For	Management
1j	Elect Director Lori J. Ryerkerk	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management

#### **CENTENE CORPORATION**

Ticker:CNCSecurity ID:15135B101Meeting Date:MAY 10, 2023Meeting Type:Annual

**Record Date:** MAR 13, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Jessica L. Blume	For	For	Management
1b	Elect Director Kenneth A. Burdick	For	For	Management
1c	Elect Director Christopher J. Coughlin	For	For	Management
1d	Elect Director H. James Dallas	For	For	Management
1e	Elect Director Wayne S. DeVeydt	For	For	Management
1f	Elect Director Frederick H. Eppinger	For	For	Management
1g	Elect Director Monte E. Ford	For	For	Management
1h	Elect Director Sarah M. London	For	For	Management
1i	Elect Director Lori J. Robinson	For	For	Management
1j	Elect Director Theodore R. Samuels	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Submit Severance Agreement (Change-in-Control) to Shareholder	Against	Against	Shareholder
	Vote			
6	Report on Maternal Morbidity Reduction Metrics in Executive	Against	Against	Shareholder
	Compensation			

#### CHENIERE ENERGY, INC.

Ticker:LNGSecurity ID:16411R208Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 27, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director G. Andrea Botta	For	For	Management
1b	Elect Director Jack A. Fusco	For	For	Management
1c	Elect Director Patricia K. Collawn	For	For	Management
1d	Elect Director Brian E. Edwards	For	For	Management
1e	Elect Director Denise Gray	For	For	Management
1f	Elect Director Lorraine Mitchelmore	For	For	Management
1g	Elect Director Donald F. Robillard, Jr.	For	For	Management
1h	Elect Director Matthew Runkle	For	For	Management
1i	Elect Director Neal A. Shear	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Report on Stranded Carbon Asset Risk	Against	Against	Shareholder

## CHURCH & DWIGHT CO., INC.

Ticker:CHDSecurity ID:171340102Meeting Date:APR 27, 2023Meeting Type:Annual

Record Date: MAR 01, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Bradlen S. Cashaw	For	For	Management
1b	Elect Director Matthew T. Farrell	For	For	Management
1c	Elect Director Bradley C. Irwin	For	For	Management
1d	Elect Director Penry W. Price	For	For	Management
1e	Elect Director Susan G. Saideman	For	For	Management
1 <b>f</b>	Elect Director Ravichandra K. Saligram	For	For	Management
1g	Elect Director Robert K. Shearer	For	For	Management
1h	Elect Director Janet S. Vergis	For	For	Management
1i	Elect Director Arthur B. Winkleblack	For	For	Management
1j	Elect Director Laurie J. Yoler	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Approve Qualified Employee Stock Purchase Plan	For	For	Management
6	Require Independent Board Chair	Against	Against	Shareholder

## **CMS ENERGY CORPORATION**

Ticker:CMSSecurity ID:125896100Meeting Date:MAY 05, 2023Meeting Type:Annual

**Record Date:** MAR 07, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Jon E. Barfield	For	For	Management
1b	Elect Director Deborah H. Butler	For	For	Management
1c	Elect Director Kurt L. Darrow	For	For	Management
1d	Elect Director William D. Harvey	For	For	Management
1e	Elect Director Garrick J. Rochow	For	For	Management
1 <b>f</b>	Elect Director John G. Russell	For	For	Management
1g	Elect Director Suzanne F. Shank	For	For	Management
1h	Elect Director Myrna M. Soto	For	For	Management
1i	Elect Director John G. Sznewajs	For	For	Management
1j	Elect Director Ronald J. Tanski	For	For	Management
1k	Elect Director Laura H. Wright	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

## COMMVAULT SYSTEMS, INC.

Ticker:CVLTSecurity ID:204166102Meeting Date:AUG 24, 2022Meeting Type:Annual

Record Date: JUN 30, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Nicholas Adamo	For	For	Management
1.2	Elect Director Martha H. Bejar	For	For	Management
1.3	Elect Director David F. Walker	For	For	Management
1.4	Elect Director Keith Geeslin	For	For	Management
1.5	Elect Director Vivie "YY" Lee	For	For	Management
1.6	Elect Director Sanjay Mirchandani	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

## CORTEVA, INC.

Ticker:CTVASecurity ID:22052L104Meeting Date:APR 21, 2023Meeting Type:Annual

**Record Date:** FEB 27, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Lamberto Andreotti	For	For	Management
1b	Elect Director Klaus A. Engel	For	For	Management
1c	Elect Director David C. Everitt	For	For	Management
1d	Elect Director Janet P. Giesselman	For	For	Management
1e	Elect Director Karen H. Grimes	For	For	Management
1f	Elect Director Michael O. Johanns	For	For	Management
1g	Elect Director Rebecca B. Liebert	For	For	Management
1h	Elect Director Marcos M. Lutz	For	For	Management
1i	Elect Director Charles V. Magro	For	For	Management
1j	Elect Director Nayaki R. Nayyar	For	For	Management
1k	Elect Director Gregory R. Page	For	For	Management
11	Elect Director Kerry J. Preete	For	For	Management
1m	Elect Director Patrick J. Ward	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

## **CROWN CASTLE INC.**

Ticker:CCISecurity ID:22822V101Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director P. Robert Bartolo	For	For	Management
1b	Elect Director Jay A. Brown	For	For	Management
1c	Elect Director Cindy Christy	For	For	Management
1d	Elect Director Ari Q. Fitzgerald	For	For	Management
1e	Elect Director Andrea J. Goldsmith	For	For	Management
1f	Elect Director Tammy K. Jones	For	For	Management
1g	Elect Director Anthony J. Melone	For	For	Management
1h	Elect Director W. Benjamin Moreland	For	For	Management
1i	Elect Director Kevin A. Stephens	For	For	Management
1j	Elect Director Matthew Thornton, III	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Charter to Allow Exculpation of Certain Officers	For	For	Management

#### CULLEN/FROST BANKERS, INC.

Ticker:CFRSecurity ID:229899109Meeting Date:APR 26, 2023Meeting Type:Annual

**Record Date:** MAR 02, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Carlos Alvarez	For	For	Management
1.2	Elect Director Chris M. Avery	For	For	Management
1.3	Elect Director Anthony R. 'Tony' Chase	For	For	Management
1.4	Elect Director Cynthia J. Comparin	For	For	Management
1.5	Elect Director Samuel G. Dawson	For	For	Management
1.6	Elect Director Crawford H. Edwards	For	For	Management
1.7	Elect Director Patrick B. Frost	For	For	Management
1.8	Elect Director Phillip D. Green	For	For	Management
1.9	Elect Director David J. Haemisegger	For	For	Management
1.10	Elect Director Charles W. Matthews	For	For	Management
1.11	Elect Director Joseph A. Pierce	For	For	Management
1.12	Elect Director Linda B. Rutherford	For	For	Management
1.13	Elect Director Jack Willome	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

#### **CUSHMAN & WAKEFIELD PLC**

Ticker:CWKSecurity ID:G2717B108Meeting Date:SEP 21, 2022Meeting Type:Special

**Record Date:** AUG 10, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1	Approve the Repurchase Authorization, Form of Share Repurchase Contracts and Repurchase Counterparties	For	For	Management

## DICK'S SPORTING GOODS, INC.

Ticker:DKSSecurity ID:253393102Meeting Date:JUN 14, 2023Meeting Type:Annual

**Record Date:** APR 17, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Mark J. Barrenechea	For	For	Management
1b	Elect Director Emanuel Chirico	For	For	Management
1c	Elect Director William J. Colombo	For	Withhold	Management
1d	Elect Director Anne Fink	For	For	Management
1e	Elect Director Larry Fitzgerald, Jr.	For	Withhold	Management
1f	Elect Director Lauren R. Hobart	For	For	Management
1g	Elect Director Sandeep Mathrani	For	For	Management
1h	Elect Director Desiree Ralls-Morrison	For	Withhold	Management
1i	Elect Director Lawrence J. Schorr	For	Withhold	Management
1j	Elect Director Edward W. Stack	For	For	Management
1k	Elect Director Larry D. Stone	For	Withhold	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	For	Against	Management

## **ELASTIC N.V.**

Ticker:ESTCSecurity ID:N14506104Meeting Date:OCT 06, 2022Meeting Type:Annual

**Record Date:** SEP 08, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Sohaib Abbasi	For	For	Management
1b	Elect Director Caryn Maroone	For	Against	Management
1c	Elect Director Chetan Puttagunta	For	Against	Management
1 <b>f</b>	Elect Director Steven Schuurman	For	For	Management
2	Adopt Financial Statements and Statutory Reports	For	For	Management
3	Appoint PricewaterhouseCoopers Accountants N.V. as External	For	For	Management
	Auditor			
4	Ratify PricewaterhouseCoopers as Auditors	For	For	Management
5	Approve Discharge of Executive Directors	For	For	Management
6	Approve Discharge of Non-Executive Directors	For	For	Management
7	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
8	Approve Qualified Employee Stock Purchase Plan	For	For	Management
9	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

#### ENPHASE ENERGY, INC.

Ticker:ENPHSecurity ID:29355A107Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 21, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Jamie Haenggi	For	For	Management
1.2	Elect Director Benjamin Kortlang	For	For	Management
1.3	Elect Director Richard Mora	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche as Auditors	For	For	Management

## **ENTERGY CORPORATION**

Ticker:ETRSecurity ID:29364G103Meeting Date:MAY 05, 2023Meeting Type:Annual

**Record Date:** MAR 07, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gina F. Adams	For	For	Management
1b	Elect Director John H. Black	For	For	Management
1c	Elect Director John R. Burbank	For	For	Management
1d	Elect Director Patrick J. Condon	For	For	Management
1e	Elect Director Kirkland H. Donald	For	For	Management
1f	Elect Director Brian W. Ellis	For	For	Management
1g	Elect Director Philip L. Frederickson	For	For	Management
1h	Elect Director M. Elise Hyland	For	For	Management
1i	Elect Director Stuart L. Levenick	For	For	Management
1j	Elect Director Blanche Lambert Lincoln	For	For	Management
1k	Elect Director Andrew S. Marsh	For	For	Management
11	Elect Director Karen A. Puckett	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Amend Certificate of Incorporation to Allow Exculpation of Officers	For	For	Management

#### **EXPEDIA GROUP, INC.**

Ticker:EXPESecurity ID:30212P303Meeting Date:MAY 31, 2023Meeting Type:Annual

**Record Date:** APR 03, 2023

1b El	lect Director Samuel Altman lect Director Beverly Anderson	For		
	Last Director Deverly Anderson		For	Management
1c El	nect Director Beverly Anderson	For	Withhold	Management
	lect Director M. Moina Banerjee	For	For	Management
1d El	lect Director Chelsea Clinton	For	Withhold	Management
le El	lect Director Barry Diller	For	Withhold	Management
1f El	lect Director Henrique Dubugras	For	For	Management
1g El	lect Director Craig Jacobson	For	Withhold	Management
1h El	lect Director Peter Kern	For	For	Management
1i El	lect Director Dara Khosrowshahi	For	Withhold	Management
1j El	lect Director Patricia Menendez Cambo	For	For	Management
1k El	lect Director Alex von Furstenberg	For	For	Management
11 E	lect Director Julie Whalen	For	For	Management
2 A	dvisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3 A	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4 A	amend Omnibus Stock Plan	For	Against	Management
5 A	amend Qualified Employee Stock Purchase Plan	For	For	Management
6 R	atify Ernst & Young LLP as Auditors	For	For	Management

#### FIRSTENERGY CORPORATION

Ticker:FESecurity ID:337932107Meeting Date:MAY 24, 2023Meeting Type:Annual

Record Date: MAR 27, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jana T. Croom	For	For	Management
1.2	Elect Director Steven J. Demetriou	For	For	Management
1.3	Elect Director Lisa Winston Hicks	For	For	Management
1.4	Elect Director Paul Kaleta	For	For	Management
1.5	Elect Director Sean T. Klimczak	For	For	Management
1.6	Elect Director Jesse A. Lynn	For	For	Management
1.7	Elect Director James F. O'Neil, III	For	For	Management
1.8	Elect Director John W. Somerhalder, II	For	For	Management
1.9	Elect Director Andrew Teno	For	For	Management
1.10	Elect Director Leslie M. Turner	For	For	Management
1.11	Elect Director Melvin Williams	For	For	Management
2	Ratify PricewaterhouseCooper LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting to 20%	For	For	Management
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	Against	Against	Shareholder
7	Establish a New Board Committee on Decarbonization Risk	Against	Against	Shareholder

## FORD MOTOR COMPANY

Ticker:FSecurity ID:345370860Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 15, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Kimberly A. Casiano	For	For	Management
1b	Elect Director Alexandra Ford English	For	For	Management
1 <b>c</b>	Elect Director James D. Farley, Jr.	For	For	Management
1d	Elect Director Henry Ford, III	For	For	Management
1e	Elect Director William Clay Ford, Jr.	For	Against	Management
1f	Elect Director William W. Helman, IV	For	For	Management
1g	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1h	Elect Director William E. Kennard	For	Against	Management
1i	Elect Director John C. May	For	For	Management
1j	Elect Director Beth E. Mooney	For	For	Management
1k	Elect Director Lynn Vojvodich Radakovich	For	For	Management
11	Elect Director John L. Thornton	For	For	Management
1m	Elect Director John B. Veihmeyer	For	For	Management
1n	Elect Director John S. Weinberg	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	Against	Management
6	Approve Recapitalization Plan for all Stock to Have One-vote per	Against	For	Shareholder
	Share	-		
7	Report on Reliance on Child Labor in Supply Chain	Against	Against	Shareholder
8	Report on Animal Testing Practices	Against	Against	Shareholder

## **GUARDANT HEALTH, INC.**

Ticker:GHSecurity ID:40131M109Meeting Date:JUN 14, 2023Meeting Type:Annual

**Record Date:** APR 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ian Clark	For	Withhold	Management
1b	Elect Director Meghan Joyce	For	Withhold	Management
1c	Elect Director Samir Kaul	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

#### HALLIBURTON COMPANY

Ticker:HALSecurity ID:406216101Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Abdulaziz F. Al Khayyal	For	For	Management
1b	Elect Director William E. Albrecht	For	For	Management
1c	Elect Director M. Katherine Banks	For	For	Management
1d	Elect Director Alan M. Bennett	For	For	Management
1e	Elect Director Milton Carroll	For	For	Management
1f	Elect Director Earl M. Cummings	For	For	Management
1g	Elect Director Murry S. Gerber	For	For	Management
1h	Elect Director Robert A. Malone	For	For	Management
1i	Elect Director Jeffrey A. Miller	For	For	Management
1j	Elect Director Bhavesh V. (Bob) Patel	For	For	Management
1k	Elect Director Maurice S. Smith	For	For	Management
11	Elect Director Janet L. Weiss	For	For	Management
1m	Elect Director Tobi M. Edwards Young	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Amend Certificate of Incorporation to Limit the Liability of Certain Officers	For	For	Management
6	Amend Certificate of Incorporation	For	For	Management

## **HESS CORPORATION**

Ticker:HESSecurity ID:42809H107Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 23, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Terrence J. Checki	For	For	Management
1b	Elect Director Leonard S. Coleman, Jr.	For	For	Management
1 <b>c</b>	Elect Director Lisa Glatch	For	For	Management
1d	Elect Director John B. Hess	For	For	Management
1e	Elect Director Edith E. Holiday	For	For	Management
1f	Elect Director Marc S. Lipschultz	For	For	Management
lg	Elect Director Raymond J. McGuire	For	For	Management
1h	Elect Director David McManus	For	For	Management
li	Elect Director Kevin O. Meyers	For	For	Management
lj	Elect Director Karyn F. Ovelmen	For	For	Management
1k	Elect Director James H. Quigley	For	For	Management
11	Elect Director William G. Schrader	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

#### HUBSPOT, INC.

Ticker:HUBSSecurity ID:443573100Meeting Date:JUN 06, 2023Meeting Type:Annual

**Record Date:** APR 10, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Nick Caldwell	For	For	Management
1b	Elect Director Claire Hughes Johnson	For	Against	Management
1c	Elect Director Jay Simons	For	For	Management
1d	Elect Director Yamini Rangan	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

#### **HUNTINGTON BANCSHARES INCORPORATED**

Ticker:HBANSecurity ID:446150104Meeting Date:APR 19, 2023Meeting Type:Annual

**Record Date:** FEB 15, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alanna Y. Cotton	For	For	Management
1.2	Elect Director Ann B. (Tanny) Crane	For	For	Management
1.3	Elect Director Gina D. France	For	For	Management
1.4	Elect Director J. Michael Hochschwender	For	For	Management
1.5	Elect Director Richard H. King	For	For	Management
1.6	Elect Director Katherine M. A. (Allie) Kline	For	For	Management
1.7	Elect Director Richard W. Neu	For	For	Management
1.8	Elect Director Kenneth J. Phelan	For	For	Management
1.9	Elect Director David L. Porteous	For	For	Management
1.10	Elect Director Roger J. Sit	For	For	Management
1.11	Elect Director Stephen D. Steinour	For	For	Management
1.12	Elect Director Jeffrey L. Tate	For	For	Management
1.13	Elect Director Gary Torgow	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

## IDEXX LABORATORIES, INC.

Ticker:IDXXSecurity ID:45168D104Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel M. Junius	For	For	Management
1b	Elect Director Lawrence D. Kingsley	For	For	Management
1c	Elect Director Sophie V. Vandebroek	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## ILLUMINA, INC.

Ticker:ILMNSecurity ID:452327109Meeting Date:MAY 25, 2023Meeting Type:Proxy Contest

**Record Date:** APR 03, 2023

#	<b>Proposal</b>	Mgt Rec	Vote Cast	Sponsor
,,	Management Universal Proxy (White Proxy Card)	D' D	W. C. A	C
#	Proposal  From the Combined List of Management 8	Diss Rec	Vote Cast	Sponsor
	From the Combined List of Management & Dissident Nominees - Elect 9 Directors			
A	Elect Management Nominee Director Frances Arnold	For	Did Not Vote	Management
В	Elect Management Nominee Director Francis A. deSouza	For	Did Not Vote	Management
C	Elect Management Nominee Director Caroline D. Dorsa	For	Did Not Vote	Management
D	Elect Management Nominee Director Robert S. Epstein	For	Did Not Vote	Management
E	Elect Management Nominee Director Scott Gottlieb	For	Did Not Vote	Management
F	Elect Management Nominee Director Gary S. Guthart	For	Did Not Vote	Management
G	Elect Management Nominee Director Philip W. Schiller	For	Did Not Vote	Management
Н	Elect Management Nominee Director Susan E. Siegel	For	Did Not Vote	Management
I	Elect Management Nominee Director John W. Thompson	For	Did Not Vote	Management
J	Elect Dissident Nominee Director Vincent J. Intrieri	Against	Did Not Vote	Shareholder
K	Elect Dissident Nominee Director Jesse A. Lynn	Against	Did Not Vote	Shareholder
L	Elect Dissident Nominee Director Andrew J. Teno	Against	Did Not Vote	Shareholder
2	Ratify Ernst & Young LLP as Auditors	For	Did Not Vote	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Did Not Vote	Management
1	Advisory Vote on Say on Pay Frequency	One Year	Did Not Vote	Management
5	Amend Omnibus Stock Plan	For	Did Not Vote	Management
				8
#	<b>Proposal</b>	Diss Rec	Vote Cast	Sponsor
	Dissident Universal Proxy (Gold Proxy Card)			
#	Proposal	Diss Rec	Vote Cast	Sponsor
	From the Combined List of Management & Dissident Nominees - Elect 9 Directors			
		_	Withhold	Shareholder
1 1	Flect Dissident Nominee Director Vincent I. Intrieri	For		Shareholder
	Elect Dissident Nominee Director Vincent J. Intrieri	For For		Shareholder
1.2	Elect Dissident Nominee Director Jesse A. Lynn	For	Withhold	Shareholder Shareholder
1.2 1.3	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno	For For	Withhold For	Shareholder
1.2 1.3 1.4	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold	For For None	Withhold For For	Shareholder Management
1.2 1.3 1.4 1.5	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa	For For None None	Withhold For For For	Shareholder Management Management
1.2 1.3 1.4 1.5	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb	For For None None None	Withhold For For For For	Shareholder Management Management Management
1.2 1.3 1.4 1.5 1.6	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart	For For None None None	Withhold For For For For For	Shareholder Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller	For For None None None None None	Withhold For For For For For For	Shareholder Management Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7 1.8	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel	For For None None None None None None	Withhold For For For For For For For	Shareholder Management Management Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel Elect Management Nominee Director Francis A. deSouza	For For None None None None None Against	Withhold For For For For For For For For	Shareholder Management Management Management Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel Elect Management Nominee Director Francis A. deSouza Elect Management Nominee Director Robert S. Epstein	For For None None None None None Against Against	Withhold For	Shareholder Management Management Management Management Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 1.10	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel Elect Management Nominee Director Francis A. deSouza Elect Management Nominee Director Robert S. Epstein Elect Management Nominee Director John W. Thompson	For For None None None None None Against Against	Withhold For For For For For For For For Withhold	Shareholder Management Management Management Management Management Management Management Management Management
1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 1.10 1.11	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel Elect Management Nominee Director Francis A. deSouza Elect Management Nominee Director Robert S. Epstein Elect Management Nominee Director John W. Thompson Ratify Ernst & Young LLP as Auditors	For For None None None None None Against Against For	Withhold For For For For For For For Withhold For	Shareholder Management
1.1 1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 1.10 1.11 1.12 2	Elect Dissident Nominee Director Jesse A. Lynn Elect Dissident Nominee Director Andrew J. Teno Elect Management Nominee Director Frances Arnold Elect Management Nominee Director Caroline D. Dorsa Elect Management Nominee Director Scott Gottlieb Elect Management Nominee Director Gary S. Guthart Elect Management Nominee Director Philip W. Schiller Elect Management Nominee Director Susan E. Siegel Elect Management Nominee Director Francis A. deSouza Elect Management Nominee Director Robert S. Epstein Elect Management Nominee Director John W. Thompson	For For None None None None None Against Against	Withhold For For For For For For For For Withhold	

## IQVIA HOLDINGS INC.

Ticker:IQVSecurity ID:46266C105Meeting Date:APR 18, 2023Meeting Type:Annual

**Record Date:** FEB 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carol J. Burt	For	For	Management
1b	Elect Director Colleen A. Goggins	For	For	Management
1c	Elect Director Sheila A. Stamps	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Provide Right to Call a Special Meeting at a 25 Percent Ownership	For	For	Management
	Threshold			
4	Provide Right to Call a Special Meeting at a 10 Percent Ownership	Against	For	Shareholder
	Threshold			
5	Require Independent Board Chair	Against	Against	Shareholder
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

## JACOBS SOLUTIONS, INC.

Ticker:JSecurity ID:46982L108Meeting Date:JAN 24, 2023Meeting Type:Annual

**Record Date:** NOV 30, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Steven J. Demetriou	For	For	Management
1b	Elect Director Christopher M.T. Thompson	For	For	Management
1c	Elect Director Priya Abani	For	For	Management
1d	Elect Director Vincent K. Brooks	For	For	Management
1e	Elect Director Ralph E. ("Ed") Eberhart	For	For	Management
1 <b>f</b>	Elect Director Manny Fernandez	For	For	Management
1g	Elect Director Georgette D. Kiser	For	For	Management
1h	Elect Director Barbara L. Loughran	For	For	Management
1i	Elect Director Robert A. McNamara	For	For	Management
1j	Elect Director Robert V. Pragada	For	For	Management
1k	Elect Director Peter J. Robertson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management

#### KELLOGG COMPANY

Ticker:KSecurity ID:487836108Meeting Date:APR 28, 2023Meeting Type:Annual

**Record Date:** FEB 28, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Stephanie A. Burns	For	For	Management
1b	Elect Director Steve Cahillane	For	For	Management
1c	Elect Director La June Montgomery Tabron	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Report on Civil Rights, Non-Discrimination and Returns to Merit Audit	Against	Against	Shareholder
6	Report on Median Gender/Racial Pay Gap	Against	For	Shareholder

#### LPL FINANCIAL HOLDINGS INC.

Ticker:LPLASecurity ID:50212V100Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 13, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Dan H. Arnold	For	For	Management
1b	Elect Director Edward C. Bernard	For	For	Management
1 <b>c</b>	Elect Director H. Paulett Eberhart	For	For	Management
1d	Elect Director William F. Glavin, Jr.	For	For	Management
1e	Elect Director Albert J. Ko	For	For	Management
l f	Elect Director Allison H. Mnookin	For	For	Management
lg	Elect Director Anne M. Mulcahy	For	For	Management
l h	Elect Director James S. Putnam	For	For	Management
li	Elect Director Richard P. Schifter	For	For	Management
j	Elect Director Corey E. Thomas	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
ļ	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

#### MARTIN MARIETTA MATERIALS, INC.

Ticker:MLMSecurity ID:573284106Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 06, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dorothy M. Ables	For	For	Management
1.2	Elect Director Sue W. Cole	For	For	Management
1.3	Elect Director Anthony R. Foxx	For	For	Management
1.4	Elect Director John J. Koraleski	For	For	Management
1.5	Elect Director C. Howard Nye	For	For	Management
1.6	Elect Director Laree E. Perez	For	For	Management
1.7	Elect Director Thomas H. Pike	For	For	Management
1.8	Elect Director Michael J. Quillen	For	For	Management
1.9	Elect Director Donald W. Slager	For	For	Management
1.10	Elect Director David C. Wajsgras	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt GHG Emissions Reduction Targets Aligned with the Paris Agreement Goal	Against	Against	Shareholder

## MATTEL, INC.

Ticker:MATSecurity ID:577081102Meeting Date:MAY 15, 2023Meeting Type:Annual

**Record Date:** MAR 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director R. Todd Bradley	For	For	Management
1b	Elect Director Adriana Cisneros	For	For	Management
1c	Elect Director Michael Dolan	For	For	Management
1 <b>d</b>	Elect Director Diana Ferguson	For	For	Management
1e	Elect Director Noreena Hertz	For	For	Management
1f	Elect Director Ynon Kreiz	For	For	Management
1g	Elect Director Soren Laursen	For	For	Management
1h	Elect Director Ann Lewnes	For	For	Management
1i	Elect Director Roger Lynch	For	For	Management
1j	Elect Director Dominic Ng	For	For	Management
1k	Elect Director Judy Olian	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chair	Against	Against	Shareholder

#### MCKESSON CORPORATION

Ticker:MCKSecurity ID:58155Q103Meeting Date:JUL 22, 2022Meeting Type:Annual

**Record Date:** MAY 27, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard H. Carmona	For	For	Management
1b	Elect Director Dominic J. Caruso	For	For	Management
1 <b>c</b>	Elect Director W. Roy Dunbar	For	For	Management
1 <b>d</b>	Elect Director James H. Hinton	For	For	Management
1e	Elect Director Donald R. Knauss	For	For	Management
1 <b>f</b>	Elect Director Bradley E. Lerman	For	For	Management
1g	Elect Director Linda P. Mantia	For	For	Management
1h	Elect Director Maria Martinez	For	For	Management
1i	Elect Director Susan R. Salka	For	For	Management
1j	Elect Director Brian S. Tyler	For	For	Management
1k	Elect Director Kathleen Wilson-Thompson	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Amend Qualified Employee Stock Purchase Plan	For	For	Management
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder
7	Adopt Policy on 10b5-1 Plans	Against	For	Shareholder

## METLIFE, INC.

Ticker:METSecurity ID:59156R108Meeting Date:JUN 20, 2023Meeting Type:Annual

**Record Date:** APR 21, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Cheryl W. Grise	For	For	Management
1b	Elect Director Carlos M. Gutierrez	For	For	Management
1c	Elect Director Carla A. Harris	For	For	Management
1d	Elect Director Gerald L. Hassell	For	For	Management
1e	Elect Director David L. Herzog	For	For	Management
1f	Elect Director R. Glenn Hubbard	For	For	Management
1g	Elect Director Jeh C. Johnson	For	For	Management
1h	Elect Director Edward J. Kelly, III	For	For	Management
1i	Elect Director William E. Kennard	For	For	Management
1j	Elect Director Michel A. Khalaf	For	For	Management
1k	Elect Director Catherine R. Kinney	For	For	Management
11	Elect Director Diana L. McKenzie	For	For	Management
lm	Elect Director Denise M. Morrison	For	For	Management
ln	Elect Director Mark A. Weinberger	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## MICRON TECHNOLOGY, INC.

Ticker:MUSecurity ID:595112103Meeting Date:JAN 12, 2023Meeting Type:Annual

**Record Date:** NOV 14, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Richard M. Beyer	For	For	Management
1b	Elect Director Lynn A. Dugle	For	For	Management
1c	Elect Director Steven J. Gomo	For	For	Management
1 d	Elect Director Linnie Haynesworth	For	For	Management
1e	Elect Director Mary Pat McCarthy	For	For	Management
1 <b>f</b>	Elect Director Sanjay Mehrotra	For	For	Management
1g	Elect Director Robert E. Switz	For	For	Management
1h	Elect Director MaryAnn Wright	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

#### NORDSON CORPORATION

Ticker:NDSNSecurity ID:655663102Meeting Date:FEB 28, 2023Meeting Type:Annual

Record Date: DEC 30, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Sundaram Nagarajan	For	For	Management
1.2	Elect Director Michael J. Merriman, Jr.	For	For	Management
1.3	Elect Director Milton M. Morris	For	For	Management
1.4	Elect Director Mary G. Puma	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Reduce Supermajority Vote Requirement	For	For	Management
6	Reduce Supermajority Vote Requirement for Matters Requiring	For	For	Management
	Shareholder Approval under the Ohio Revised Code			
7	Reduce Supermajority Vote Requirement for Certain Amendments to	For	For	Management
	Regulations as set forth in Article IX			
8	Amend Regulations to the Extent Permitted by Ohio law	For	For	Management

## NORTHROP GRUMMAN CORPORATION

Ticker:NOCSecurity ID:666807102Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 21, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kathy J. Warden	For	For	Management
1.2	Elect Director David P. Abney	For	For	Management
1.3	Elect Director Marianne C. Brown	For	For	Management
1.4	Elect Director Ann M. Fudge	For	For	Management
1.5	Elect Director Madeleine A. Kleiner	For	For	Management
1.6	Elect Director Arvind Krishna	For	For	Management
1.7	Elect Director Graham N. Robinson	For	For	Management
1.8	Elect Director Kimberly A. Ross	For	For	Management
1.9	Elect Director Gary Roughead	For	For	Management
1.10	Elect Director Thomas M. Schoewe	For	For	Management
1.11	Elect Director James S. Turley	For	For	Management
1.12	Elect Director Mark A. Welsh, III	For	For	Management
1.13	Elect Director Mary A. Winston	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Reduce Ownership Threshold for Shareholders to Call Special	For	For	Management
	Meeting			-
6	Report on Congruency of Political Spending with Company Stated	Against	Against	Shareholder
	Values on Human Rights			
7	Require Independent Board Chair	Against	Against	Shareholder

#### OCCIDENTAL PETROLEUM CORPORATION

Ticker:OXYSecurity ID:674599105Meeting Date:MAY 05, 2023Meeting Type:Annual

Record Date: MAR 10, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Vicky A. Bailey	For	For	Management
1b	Elect Director Andrew Gould	For	For	Management
1c	Elect Director Carlos M. Gutierrez	For	For	Management
1d	Elect Director Vicki Hollub	For	For	Management
1e	Elect Director William R. Klesse	For	For	Management
1f	Elect Director Jack B. Moore	For	For	Management
1g	Elect Director Claire O'Neill	For	For	Management
1h	Elect Director Avedick B. Poladian	For	For	Management
	Elect Director Ken Robinson	For	For	Management
1i	Elect Director Robert M. Shearer	For	For	Management
2	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Require Independent Board Chair	Against	For	Shareholder

#### ON SEMICONDUCTOR CORPORATION

Ticker:ONSecurity ID:682189105Meeting Date:MAY 18, 2023Meeting Type:Annual

**Record Date:** MAR 21, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Atsushi Abe	For	For	Management
1b	Elect Director Alan Campbell	For	For	Management
1c	Elect Director Susan K. Carter	For	For	Management
1d	Elect Director Thomas L. Deitrich	For	For	Management
1e	Elect Director Hassane S. El-Khoury	For	For	Management
1f	Elect Director Bruce E. Kiddoo	For	For	Management
1g	Elect Director Paul A. Mascarenas	For	For	Management
1h	Elect Director Gregory L. Waters	For	For	Management
1i	Elect Director Christine Y. Yan	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

#### PALO ALTO NETWORKS, INC.

Ticker:PANWSecurity ID:697435105Meeting Date:DEC 13, 2022Meeting Type:Annual

**Record Date:** OCT 14, 2022

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Helene D. Gayle	For	For	Management
1b	Elect Director James J. Goetz	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management

## PDF SOLUTIONS, INC.

Ticker:PDFSSecurity ID:693282105Meeting Date:JUN 13, 2023Meeting Type:Annual

**Record Date:** APR 14, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joseph R. Bronson	For	For	Management
1.2	Elect Director Ye Jane Li	For	For	Management
2	Ratify BPM LLP as Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## PHILLIPS 66

Ticker:PSXSecurity ID:718546104Meeting Date:MAY 10, 2023Meeting Type:Annual

**Record Date:** MAR 15, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Gregory J. Hayes	For	For	Management
1b	Elect Director Charles M. Holley	For	For	Management
1c	Elect Director Denise R. Singleton	For	For	Management
1d	Elect Director Glenn F. Tilton	For	For	Management
1e	Elect Director Marna C. Whittington	For	For	Management
2	Declassify the Board of Directors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Publish Audited Report on Impacts of a Significant Reduction in	Against	Against	Shareholder
	Virgin Plastic Demand	-	-	

#### PIONEER NATURAL RESOURCES COMPANY

Ticker:PXDSecurity ID:723787107Meeting Date:MAY 25, 2023Meeting Type:Annual

**Record Date:** MAR 30, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director A.R. Alameddine	For	For	Management
1b	Elect Director Lori G. Billingsley	For	For	Management
1c	Elect Director Edison C. Buchanan	For	For	Management
1d	Elect Director Richard P. Dealy	For	For	Management
1e	Elect Director Maria S. Dreyfus	For	For	Management
1f	Elect Director Matthew M. Gallagher	For	For	Management
1g	Elect Director Phillip A. Gobe	For	For	Management
1h	Elect Director Stacy P. Methvin	For	For	Management
1i	Elect Director Royce W. Mitchell	For	For	Management
1j	Elect Director Scott D. Sheffield	For	For	Management
1k	Elect Director J. Kenneth Thompson	For	For	Management
11	Elect Director Phoebe A. Wood	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

#### QUEST DIAGNOSTICS INCORPORATED

Ticker:DGXSecurity ID:74834L100Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director James E. Davis	For	For	Management
1.2	Elect Director Luis A. Diaz, Jr.	For	For	Management
1.3	Elect Director Tracey C. Doi	For	For	Management
1.4	Elect Director Vicky B. Gregg	For	For	Management
1.5	Elect Director Wright L. Lassiter, III	For	For	Management
1.6	Elect Director Timothy L. Main	For	For	Management
1.7	Elect Director Denise M. Morrison	For	For	Management
1.8	Elect Director Gary M. Pfeiffer	For	For	Management
1.9	Elect Director Timothy M. Ring	For	For	Management
1.10	Elect Director Gail R. Wilensky	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Amend Omnibus Stock Plan	For	For	Management
6	Adopt GHG Emissions Reduction Targets Aligned with the Paris Agreement Goal	Against	Against	Shareholder

## REPUBLIC SERVICES, INC.

Ticker:RSGSecurity ID:760759100Meeting Date:MAY 12, 2023Meeting Type:Annual

**Record Date:** MAR 15, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Manuel Kadre	For	For	Management
1b	Elect Director Tomago Collins	For	For	Management
1c	Elect Director Michael A. Duffy	For	For	Management
1d	Elect Director Thomas W. Handley	For	For	Management
1e	Elect Director Jennifer M. Kirk	For	For	Management
1f	Elect Director Michael Larson	For	For	Management
1g	Elect Director James P. Snee	For	For	Management
1h	Elect Director Brian S. Tyler	For	For	Management
1i	Elect Director Jon Vander Ark	For	For	Management
1j	Elect Director Sandra M. Volpe	For	For	Management
1k	Elect Director Katharine B. Weymouth	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

## ROCKWELL AUTOMATION, INC.

Ticker:ROKSecurity ID:773903109Meeting Date:FEB 07, 2023Meeting Type:Annual

**Record Date:** DEC 12, 2022

Mgt Rec	Vote Cast	Sponsor
For	For	Management
One Year	One Year	Management
For	For	Management
	For For For For For One Year	For For For For For For For For One Year

#### **ROGERS CORPORATION**

Ticker:ROGSecurity ID:775133101Meeting Date:MAY 04, 2023Meeting Type:Annual

**Record Date:** MAR 03, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Keith L. Barnes	For	For	Management
1.2	Elect Director Larry L. Berger	For	For	Management
1.3	Elect Director Megan Faust	For	For	Management
1.4	Elect Director R. Colin Gouveia	For	For	Management
1.5	Elect Director Armand F. Lauzon, Jr.	For	For	Management
1.6	Elect Director Ganesh Moorthy	For	For	Management
1.7	Elect Director Jeffrey J. Owens	For	For	Management
1.8	Elect Director Anne K. Roby	For	For	Management
1.9	Elect Director Peter C. Wallace	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

## SAREPTA THERAPEUTICS, INC.

Ticker:SRPTSecurity ID:803607100Meeting Date:JUN 08, 2023Meeting Type:Annual

**Record Date:** APR 14, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Richard J. Barry	For	For	Management
1.2	Elect Director M. Kathleen Behrens	For	For	Management
1.3	Elect Director Stephen L. Mayo	For	For	Management
1.4	Elect Director Claude Nicaise	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
6	Ratify KPMG LLP as Auditors	For	For	Management
	·			-

#### **SEAGEN INC.**

Ticker:SGENSecurity ID:81181C104Meeting Date:MAY 30, 2023Meeting Type:Special

**Record Date:** APR 17, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Advisory Vote on Golden Parachutes	For	Against	Management

#### SEAGEN INC.

Ticker:SGENSecurity ID:81181C104Meeting Date:MAY 31, 2023Meeting Type:Annual

**Record Date:** APR 03, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David W. Gryska	For	For	Management
1b	Elect Director John A. Orwin	For	For	Management
1c	Elect Director Alpna H. Seth	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

## SHOALS TECHNOLOGIES GROUP, INC.

Ticker:SHLSSecurity ID:82489W107Meeting Date:MAY 04, 2023Meeting Type:Annual

**Record Date:** MAR 15, 2023

	rector Jeannette Mills	For	For	3.6
1.2 Flect Dir			roi	Management
1.2 LICCUDII	ector Lori Sundberg	For	Withhold	Management
2 Advisory	Vote on Say on Pay Frequency	One Year	One Year	Management
3 Advisory	Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4 Ratify BI	DO USA, LLP as Auditors	For	For	Management

#### SPLUNK INC.

Ticker:SPLKSecurity ID:848637104Meeting Date:JUN 21, 2023Meeting Type:Annual

**Record Date:** MAY 02, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Patricia Morrison	For	For	Management
1b	Elect Director David Tunnell	For	For	Management
1c	Elect Director Dennis L. Via	For	For	Management
1d	Elect Director Luis Visoso	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Approve Omnibus Stock Plan	For	Against	Management

#### STATE STREET CORPORATION

Ticker:STTSecurity ID:857477103Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 21, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Patrick de Saint-Aignan	For	For	Management
1b	Elect Director Marie A. Chandoha	For	For	Management
1c	Elect Director DonnaLee DeMaio	For	For	Management
1 d	Elect Director Amelia C. Fawcett	For	For	Management
1e	Elect Director William C. Freda	For	For	Management
1f	Elect Director Sara Mathew	For	For	Management
1g	Elect Director William L. Meaney	For	For	Management
1h	Elect Director Ronald P. O'Hanley	For	For	Management
1i	Elect Director Sean O'Sullivan	For	For	Management
1j	Elect Director Julio A. Portalatin	For	For	Management
1k	Elect Director John B. Rhea	For	For	Management
11	Elect Director Gregory L. Summe	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management
6	Report on Asset Management Policies and Diversified Investors	Against	Against	Shareholder

### STEEL DYNAMICS, INC.

Ticker:STLDSecurity ID:858119100Meeting Date:MAY 11, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mark D. Millett	For	For	Management
1.2	Elect Director Sheree L. Bargabos	For	For	Management
1.3	Elect Director Kenneth W. Cornew	For	For	Management
1.4	Elect Director Traci M. Dolan	For	For	Management
1.5	Elect Director James C. Marcuccilli	For	For	Management
1.6	Elect Director Bradley S. Seaman	For	For	Management
1.7	Elect Director Gabriel L. Shaheen	For	For	Management
1.8	Elect Director Luis M. Sierra	For	For	Management
1.9	Elect Director Steven A. Sonnenberg	For	For	Management
1.10	Elect Director Richard P. Teets, Jr.	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management

### SYNOPSYS, INC.

Ticker:SNPSSecurity ID:871607107Meeting Date:APR 12, 2023Meeting Type:Annual

**Record Date:** FEB 13, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Aart J. de Geus	For	For	Management
1b	Elect Director Luis Borgen	For	For	Management
1c	Elect Director Marc N. Casper	For	For	Management
1d	Elect Director Janice D. Chaffin	For	For	Management
1e	Elect Director Bruce R. Chizen	For	For	Management
1f	Elect Director Mercedes Johnson	For	For	Management
1g	Elect Director Jeannine P. Sargent	For	For	Management
1h	Elect Director John G. Schwarz	For	For	Management
1i	Elect Director Roy Vallee	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Ratify KPMG LLP as Auditors	For	For	Management
6	Reduce Ownership Threshold for Shareholders to Call Special	Against	For	Shareholder
	Meeting	-		

### T. ROWE PRICE GROUP, INC.

Ticker:TROWSecurity ID:74144T108Meeting Date:MAY 09, 2023Meeting Type:Annual

**Record Date:** MAR 01, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Glenn R. August	For	For	Management
1b	Elect Director Mark S. Bartlett	For	For	Management
1c	Elect Director Dina Dublon	For	For	Management
1d	Elect Director Freeman A. Hrabowski, III	For	For	Management
1e	Elect Director Robert F. MacLellan	For	For	Management
1 <b>f</b>	Elect Director Eileen P. Rominger	For	For	Management
1g	Elect Director Robert W. Sharps	For	For	Management
1h	Elect Director Robert J. Stevens	For	For	Management
1i	Elect Director William J. Stromberg	For	For	Management
1j	Elect Director Sandra S. Wijnberg	For	For	Management
1k	Elect Director Alan D. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Nonqualified Employee Stock Purchase Plan	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Ratify KPMG LLP as Auditors	For	For	Management

# TAKE-TWO INTERACTIVE SOFTWARE, INC.

Ticker:TTWOSecurity ID:874054109Meeting Date:SEP 16, 2022Meeting Type:Annual

**Record Date:** JUL 25, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Strauss Zelnick	For	For	Management
1b	Elect Director Michael Dornemann	For	For	Management
1c	Elect Director J Moses	For	For	Management
1d	Elect Director Michael Sheresky	For	For	Management
1e	Elect Director LaVerne Srinivasan	For	For	Management
1f	Elect Director Susan Tolson	For	For	Management
1g	Elect Director Paul Viera	For	For	Management
1h	Elect Director Roland Hernandez	For	For	Management
1i	Elect Director William "Bing" Gordon	For	For	Management
1j	Elect Director Ellen Siminoff	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

### THE KRAFT HEINZ COMPANY

Ticker:KHCSecurity ID:500754106Meeting Date:MAY 04, 2023Meeting Type:Annual

**Record Date:** MAR 06, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gregory E. Abel	For	For	Management
1b	Elect Director Humberto P. Alfonso	For	For	Management
1c	Elect Director John T. Cahill	For	For	Management
1d	Elect Director Lori Dickerson Fouche	For	For	Management
1e	Elect Director Diane Gherson	For	For	Management
1f	Elect Director Timothy Kenesey	For	For	Management
1g	Elect Director Alicia Knapp	For	For	Management
1h	Elect Director Elio Leoni Sceti	For	For	Management
1i	Elect Director Susan Mulder	For	For	Management
1j	Elect Director James Park	For	For	Management
1k	Elect Director Miguel Patricio	For	For	Management
11	Elect Director John C. Pope	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Adopt Simple Majority Vote	Against	Against	Shareholder
5	Report on Supply Chain Water Risk Exposure	Against	Against	Shareholder
6	Commission a Civil Rights and Non-Discrimination Audit	Against	Against	Shareholder

### THE KROGER CO.

Ticker:KRSecurity ID:501044101Meeting Date:JUN 22, 2023Meeting Type:Annual

**Record Date:** APR 24, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Nora A. Aufreiter	For	For	Management
1b	Elect Director Kevin M. Brown	For	For	Management
1c	Elect Director Elaine L. Chao	For	For	Management
1d	Elect Director Anne Gates	For	For	Management
1e	Elect Director Karen M. Hoguet	For	For	Management
1f	Elect Director W. Rodney McMullen	For	For	Management
1g	Elect Director Clyde R. Moore	For	For	Management
1h	Elect Director Ronald L. Sargent	For	For	Management
1i	Elect Director J. Amanda Sourry Knox (Amanda Sourry)	For	For	Management
1j	Elect Director Mark S. Sutton	For	For	Management
1k	Elect Director Ashok Vemuri	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
5	Report on Public Health Costs Due to Tobacco Product Sales and the	Against	Against	Shareholder
	Impact on Overall Market			
6	Report on Charitable Contributions	Against	Against	Shareholder
7	Report on Efforts to Reduce Plastic Use	Against	For	Shareholder
8	Report on Gender/Racial Pay Gap	Against	For	Shareholder
9	Report on Risks of Omitting Viewpoint and Ideological Diversity	Against	Against	Shareholder
	from EEO Policy			

### TWITTER, INC.

Ticker:TWTRSecurity ID:90184L102Meeting Date:SEP 13, 2022Meeting Type:Special

**Record Date:** JUL 22, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Advisory Vote on Golden Parachutes	For	For	Management
3	Adjourn Meetin	For	For	Management

### **UNUM GROUP**

Ticker:UNMSecurity ID:91529Y106Meeting Date:MAY 25, 2023Meeting Type:Annual

**Record Date:** MAR 27, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Theodore H. Bunting, Jr.	For	For	Management
1b	Elect Director Susan L. Cross	For	For	Management
1c	Elect Director Susan D. DeVore	For	For	Management
1d	Elect Director Joseph J. Echevarria	For	For	Management
le	Elect Director Cynthia L. Egan	For	For	Management
l f	Elect Director Kevin T. Kabat	For	For	Management
g	Elect Director Timothy F. Keaney	For	For	Management
h	Elect Director Gale V. King	For	For	Management
li	Elect Director Gloria C. Larson	For	For	Management
lj	Elect Director Richard P. McKenney	For	For	Management
lk	Elect Director Ronald P. O'Hanley	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

### VALERO ENERGY CORPORATION

Ticker:VLOSecurity ID:91913Y100Meeting Date:MAY 09, 2023Meeting Type:Annual

**Record Date:** MAR 13, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Fred M. Diaz	For	For	Management
1b	Elect Director H. Paulett Eberhart	For	For	Management
1c	Elect Director Marie A. Ffolkes	For	For	Management
1d	Elect Director Joseph W. Gorder	For	For	Management
1e	Elect Director Kimberly S. Greene	For	For	Management
1f	Elect Director Deborah P. Majoras	For	For	Management
1g	Elect Director Eric D. Mullins	For	For	Management
1h	Elect Director Donald L. Nickles	For	For	Management
1 i	Elect Director Robert A. Profusek	For	For	Management
1j	Elect Director Randall J. Weisenburger	For	For	Management
1k	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Report on Climate Transition Plan and GHG Emissions Reduction	Against	For	Shareholder
	Targets  Output  Description:  Audit	A 1 4	A *	C1 1 . 1 . 1
6	Oversee and Report a Racial Equity Audit	Against	Against	Shareholder

### VERISK ANALYTICS, INC.

Ticker:VRSKSecurity ID:92345Y106Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1a	Elect Director Vincent K. Brooks	For	For	Management
1b	Elect Director Jeffrey Dailey	For	For	Management
1c	Elect Director Wendy Lane	For	For	Management
1d	Elect Director Lee M. Shavel	For	For	Management
1e	Elect Director Kimberly S. Stevenson	For	For	Management
1f	Elect Director Olumide Soroye	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
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### VICI PROPERTIES INC.

Ticker:VICISecurity ID:925652109Meeting Date:APR 27, 2023Meeting Type:Annual

**Record Date:** MAR 01, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James R. Abrahamson	For	For	Management
1b	Elect Director Diana F. Cantor	For	For	Management
1c	Elect Director Monica H. Douglas	For	For	Management
1d	Elect DirectorElizabeth I. Holland	For	For	Management
1e	Elect Director Craig Macnab	For	For	Management
1f	Elect Director Edward B. Pitoniak	For	For	Management
1g	Elect Director Michael D. Rumbolz	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

# WEC ENERGY GROUP, INC.

Ticker:WECSecurity ID:92939U106Meeting Date:MAY 04, 2023Meeting Type:Annual

**Record Date:** FEB 23, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director Ave M. Bie	For	For	Management
1.2	Elect Director Curt S. Culver	For	For	Management
1.3	Elect Director Danny L. Cunningham	For	For	Management
1.4	Elect Director William M. Farrow, III	For	For	Management
1.5	Elect Director Cristina A. Garcia-Thomas	For	For	Management
1.6	Elect Director Maria C. Green	For	For	Management
1.7	Elect Director Gale E. Klappa	For	For	Management
1.8	Elect Director Thomas K. Lane	For	For	Management
1.9	Elect Director Scott J. Lauber	For	For	Management
1.10	Elect Director Ulice Payne, Jr.	For	For	Management
1.11	Elect Director Mary Ellen Stanek	For	For	Management
1.12	Elect Director Glen E. Tellock	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### WESCO INTERNATIONAL, INC.

Ticker:WCCSecurity ID:95082P105Meeting Date:MAY 25, 2023Meeting Type:Annual

**Record Date:** MAR 30, 2023

#	Proposal	Mgt Rec	<b>Vote Cast</b>	Sponsor
1.1	Elect Director John J. Engel	For	For	Management
1.2	Elect Director Anne M. Cooney	For	For	Management
1.3	Elect Director Matthew J. Espe	For	For	Management
1.4	Elect Director Bobby J. Griffin	For	For	Management
1.5	Elect Director Sundaram "Naga" Nagarajan	For	For	Management
1.6	Elect Director Steven A. Raymund	For	For	Management
1.7	Elect Director James L. Singleton	For	For	Management
1.8	Elect Director Easwaran Sundaram	For	For	Management
1.9	Elect Director Laura K. Thompson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### WOLFSPEED, INC.

Ticker:WOLFSecurity ID:977852102Meeting Date:OCT 24, 2022Meeting Type:Annual

**Record Date:** SEP 02, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Glenda M. Dorchak	For	For	Management
1.2	Elect Director John C. Hodge	For	For	Management
1.3	Elect Director Clyde R. Hosein	For	For	Management
1.4	Elect Director Darren R. Jackson	For	For	Management
1.5	Elect Director Duy-Loan T. Le	For	For	Management
1.6	Elect Director Gregg A. Lowe	For	For	Management
1.7	Elect Director John B. Replogle	For	For	Management
1.8	Elect Director Marvin A. Riley	For	For	Management
1.9	Elect Director Thomas H. Werner	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### WORKDAY, INC.

Ticker:WDAYSecurity ID:98138H101Meeting Date:JUN 22, 2023Meeting Type:Annual

**Record Date:** APR 25, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Christa Davies	For	Against	Management
1b	Elect Director Wayne A.I. Frederick	For	For	Management
1c	Elect Director Mark J. Hawkins	For	For	Management
1d	Elect Director George J. Still, Jr	For	Against	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Amend Bylaws to Require Shareholder Approval of Certain	Against	Against	Shareholder
	Provisions Related to Director Nominations by Shareholders			

### XYLEM INC.

Ticker:XYLSecurity ID:98419M100Meeting Date:MAY 11, 2023Meeting Type:Special

**Record Date:** APR 05, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Acquisition	For	For	Management
2	Adjourn Meeting	For	For	Management

## XYLEM INC.

Ticker:XYLSecurity ID:98419M100Meeting Date:MAY 18, 2023Meeting Type:Annual

**Record Date:** MAR 20, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jeanne Beliveau-Dunn	For	For	Management
1b	Elect Director Patrick K. Decker	For	For	Management
1c	Elect Director Earl R. Ellis	For	For	Management
1d	Elect Director Robert F. Friel	For	For	Management
1e	Elect Director Victoria D. Harker	For	For	Management
1f	Elect Director Steven R. Loranger	For	For	Management
1g	Elect Director Mark D. Morelli	For	For	Management
1h	Elect Director Jerome A. Peribere	For	For	Management
1 i	Elect Director Lila Tretikov	For	For	Management
1j	Elect Director Uday Yadav	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chair	Against	For	Shareholder

### ZIMMER BIOMET HOLDINGS, INC.

Ticker:ZBHSecurity ID:98956P102Meeting Date:MAY 12, 2023Meeting Type:Annual

**Record Date:** MAR 13, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Christopher B. Begley	For	For	Management
1b	Elect Director Betsy J. Bernard	For	For	Management
1c	Elect Director Michael J. Farrell	For	For	Management
1d	Elect Director Robert A. Hagemann	For	For	Management
1e	Elect Director Bryan C. Hanson	For	For	Management
1f	Elect Director Arthur J. Higgins	For	For	Management
1g	Elect Director Maria Teresa Hilado	For	For	Management
1h	Elect Director Syed Jafry	For	For	Management
1i	Elect Director Sreelakshmi Kolli	For	For	Management
1j	Elect Director Michael W. Michelson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### ZOOMINFO TECHNOLOGIES INC.

Ticker:ZISecurity ID:98980F104Meeting Date:MAY 17, 2023Meeting Type:Annual

**Record Date:** MAR 21, 2023

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Todd Crockett	For	Withhold	Management
1.2	Elect Director Patrick McCarter	For	Withhold	Management
1.3	Elect Director D. Randall Winn	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### ZSCALER, INC.

Ticker:ZSSecurity ID:98980G102Meeting Date:JAN 13, 2023Meeting Type:AnnualRecord Date:NOV 14, 2022

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Andrew Brown	For	Withhold	Management
1.2	Elect Director Scott Darling	For	Withhold	Management
1.3	Elect Director David Schneider	For	Withhold	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

==== END NPX REPORT =====

### **SIGNATURES**

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### AQUILA FUNDS TRUST

/s/ Diana P. Herrmann Diana P. Herrmann Vice Chair, Trustee and President August 1, 2023

/s/ Joseph P. DiMaggio Joseph P. DiMaggio Chief Financial Officer and Treasurer August 1, 2023