

CUSTODIAL ACCOUNT – TRANSFER OF OWNERSHIP FORM



Use this form to transfer the custodianship of a Uniform Gifts to Minors Act (“UGMA”) or Uniform Transfers to Minors Act (“UTMA”) account when the minor has reached the age of majority under appropriate state law. A copy of the minor’s birth certificate may be required if the birthdate provided on this form does not match the birthdate on file. Please also complete a new account application with this form.

SECTION 1: ACCOUNT INFORMATION

Account Number(s):	Custodian’s Name:
Former Minor’s Name:	Birthdate (mm/dd/yyyy) (required):
Account Registration Address:	
Last 4 Digits Of Social Security / Tax Identification Number:	
Cell Phone Number:	Alternate Phone Number:
Email Address:	

SECTION 2: INSTRUCTIONS

A. _____ has reached the age of majority for the State of _____

B. Type of Transfer (check the appropriate option below)

- Transfer an UGMA/UTMA account into an Individual account. Please also complete a new account application and return it with this form.
- Transfer an UGMA/UTMA account into an account type listed below. For this option, a **Medallion Signature Guarantee (MSG) Stamp is required for the Former Minor’s signature on this form.** Please also complete a new account application and return it with this form.
 - Joint Account
 - Trust Account
 - Corporation/Other Entity

SECTION 3: AUTHORIZATION OF ACCOUNT OWNER(S)

By signing below, I authorize Aquila Distributors LLC, BNY Mellon Investment Servicing (US) Inc., or any successor transfer agent or sub-transfer agent (the "Transfer Agent"), or its affiliates, to act on the instructions reasonably believed to be genuine for the instructions described on this form.

Former Minor's Signature:

Date (mm/dd/yyyy):

Medallion Signature Guarantee Stamp and Signature:

An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is not an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee
(If Required)**

SECTION 4: HOW TO SUBMIT THIS FORM**Regular mail:**

Aquila Group of Funds
P.O. Box 9823
Providence, RI 02940-8023

Overnight mail:

Aquila Group of Funds
4400 Computer Drive
Westborough, MA 01581-1722

**If you have any questions or need assistance completing this form, please contact us at
(800) 437-1000, Monday through Friday, between 8:00 AM and 4:30 PM ET.**